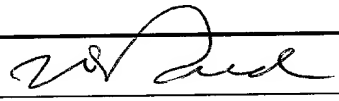


FORM PTO-390 OFFICE (REV. 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK		ATTORNEY'S DOCKET NUMBER CM1869M/VB	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION No. (if known, see 37 CFR 1.5) 09/7744836	
INTERNATIONAL APPLICATION NO. PCT/US99/06117		INTERNATIONAL FILING DATE 26 March 1999		PRIORITY DATE CLAIMED 30 July 1998	
TITLE OF INVENTION Hair Care Compositions Comprising Polysiloxane Resins With Delocalised Electrons					
APPLICANT(S) FOR DO/EO/US McCANN, Chantelle Mary et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.					
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application was filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input checked="" type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: "Express Mail" mailing label number EL4831440997US Date of Deposit 30 January 2001 I hereby certify that this paper/letter is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to The Assistant Commissioner of Patents, Washington, D.C. 20231. Administrator/Mailing Application Signature <i>Darguna O Byrd</i>					

09/7744836

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/744836		INTERNATIONAL APPLICATION NO. PCT/US99/06117		ATTORNEY'S DOCKET NUMBER CM1869M/VB	
				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$710	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	15-20 =	0	x \$18.00	\$0	
Independent Claims	1-3 =	0	x \$80.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			\$270.00	\$0	
TOTAL OF ABOVE CALCULATIONS =				\$710	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$0	
TOTAL NATIONAL FEE =				\$710	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28,3.31). \$40.00 per property +				\$0	
TOTAL FEES ENCLOSED =				\$710	
				Amount to be refunded	s
				charged	s
<p>a. [] A check in the amount of \$ ____ to cover the above fees is enclosed.</p> <p>b. [x] Please charge my Deposit Account No. <u>16-2480</u> in the amount of \$ <u>710</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>16-2480</u>. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p>					
B. J. Corstanje, Patent Attorney Patent Division – HB3N30 – Box 325 The Procter & Gamble Company Sharon Woods Technical Center 11510 Reed Hartman Highway Cincinnati, Ohio 45241				 Signature <u>T. David Reed</u> Name <u>32,931</u> Registration Number	

00744836 09/744836

09/744836

JC02 Rec'd PCT/PTO 30 JAN 2001

Case CM1869M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the matter of

U.S. National Phase Entry
Under 35 USC 371 from
the International Application of
MCCANN, Chantelle Mary et al
Int'l Application No. PCT/US99/06117
Filed in the RO/US on 26 March 1999
Entitled: Hair Care Compositions Comprising
Polysiloxane Resins With Delocalised
Electrons

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Dear Sir:

Before computing the fees for entering the captioned International Application into the U.S. National Phase, please enter the following amendments **IN THE CLAIMS**:

- * In Claim 2 please delete the phrase “, more preferably from about 0.1% to 1%”.
- * In each one of claims 3, 4 and 5 please replace the phrase “any of the preceding claims” with --Claim 1--.
- * In Claim 6 please replace the phrase “any of the preceding claims” with --Claim 1--.
Also, in Claim 6 please delete the phrase “, preferably less than about $1000 \text{ mm}^2 \text{ s}^{-1}$, more preferably less than about $600 \text{ mm}^2 \text{ s}^{-1}$ ”.
- * In Claim 7 please replace the phrase “any of the preceding claims” with --Claim 1--.
- * In Claim 8 please replace the phrase “any of the preceding claims” with --Claim 1--.
Also, please delete the phrase “, preferably C_{12} to C_{18} , more preferably C_{16} ”.
- * In Claim 9 please replace the phrase “any of the preceding claims” with --Claim 1--.
- * In Claim 10 please replace the phrase “any of Claims 1 to 8” with --Claim 1--.

Please add the following new claims:

- * 11. A hair care composition according to Claim 1 wherein the polysiloxane resin comprises from about 0.1% to 1%, by weight, of the total composition.
- * 12. A hair care composition according to Claim 6 wherein the polysiloxane resin has a viscosity of less than about $1000 \text{ mm}^2 \text{ s}^{-1}$, at 25°C .
- * 13. A hair care composition according to Claim 12 wherein the polysiloxane resin has a viscosity of less than $600 \text{ mm}^2 \text{ s}^{-1}$, at 25°C .
- * 14. A hair care composition according to Claim 8 wherein the composition further comprises C_{12} to C_{18} , fatty alcohol.

* 15. A hair care composition according to Claim 14 wherein the composition further comprises C₁₆, fatty alcohol.

The support for these amendments is found in the claims as originally filed. These amendments are being entered to bring the claims into conformance with, *inter alia*, 37 CFR §1.75; no new matter is added.

Respectfully submitted for Applicants,

By:



T. David Reed
Agent for Applicants
Registration No. 32,931

25 January 2001
5299 Spring Grove Avenue
Cincinnati, Ohio 45217-1087
Phone: (513) 627-7025
FAX: (513) 627-6333

603230 "a" 603230

5 HAIR CARE COMPOSITIONS COMPRISING POLYSILOXANE RESINS WITH DELOCALISED
ELECTRONS

10

The present invention relates to hair care compositions. In particular, it relates to hair care compositions which give good conditioning/shine to the hair with reduced feelings of tackiness and greasiness.

15

Background to the Invention

Hair is often subjected to a wide variety of insults that can cause damage. These include shampooing, rinsing, drying, heating, combing, styling, perming, colouring, exposure to the elements etc. Thus the hair is often in a dry, rough, lusterless or frizzy condition due to abrasion of the hair surface and removal of the hair's natural oils and other natural conditioning and moisturizing components.

25 A variety of approaches have been developed to alleviate these conditions. These include the use of ultra mild shampoo compositions, the use of hair conditioning shampoos which attempt to both cleanse and condition the hair from a single product and the use of hair conditioning formulations such as rinse-off and leave-on products.

30

Leave-on hair care formulations provide added advantages over the other approaches. For example, leave-on formulations are more cost effective and

work for a longer duration because the conditioning ingredients remain on the hair. They are more convenient because the consumer can use the product at any time and does not have to wait to rinse the product. Also, the product may be applied to the parts of the hair most in need of the conditioning benefits.

5

Commonly, the conditioning benefit is provided through the use of hair conditioning agents such as cationic surfactants, cationic polymers, silicone conditioning agents, hydrocarbon and other organic oils and solid aliphatics such as fatty alcohols. These conditioning agents are well known in the art. See, for example, WO-A-97/35542, WO-A-97/35545, WO-A-97/35546, all of which describe the use of conditioning agents in shampoo compositions.

Ideally these conditioning agents are deposited on the hair fibres and cause the hair to feel smooth and appear shiny. Preferably, the compositions should be easy to work through the hair to ensure that the agents are deposited fairly evenly along the hair shaft. However, conditioning compositions, especially leave-on compositions where there is no rinsing step, are often difficult to spread and can deposit too much conditioning agent in an uneven manner. This causes the hair to develop a dirty, coated feel and leaves the hair limp and without body. This is particularly noticeable when the compositions are used repeatedly and when the hair is not washed daily. It is therefore desirable to formulate compositions comprising as little conditioning agent as possible to achieve the desired benefits while ensuring that the agent is deposited as evenly as possible along the hair shaft.

25

It has recently been suggested that polysiloxane resins could be used as hair conditioning agents. For example, GB-A-2,297,757, incorporated by reference herein, describes low viscosity organofunctionalised siloxysilicates and gives examples of their use in hair care compositions. However, this reference does not address the problem of providing hair care compositions that give shine and conditioning benefits and which are easy to work through the hair but do not cause the hair to feel excessively tacky or greasy.

30

0074436-06304

Surprisingly, it has now been found that leave-on hair care compositions comprising from about 0.001% to about 5% of polysiloxane resin, wherein at least one substituent group of the resin possesses delocalised electrons, have reduced tackiness while delivering good conditioning/shine benefits.

Summary of the Invention

According to the present invention there is provided a hair care composition comprising from about 0.001% to 5% polysiloxane resin, wherein at least one substituent group of the resin possesses delocalised electrons and wherein the composition is a leave-on composition.

The compositions of the present invention have reduced tackiness and greasiness while delivering good conditioning/shine benefits.

All concentrations and ratios herein are by weight of the hair care composition, unless otherwise specified.

All averages are weight averages unless otherwise specified.

Detailed Description of the Invention

The leave-on hair care compositions of the present invention comprise from a polysiloxane resin, wherein at least one substituent group of the resin possesses delocalised electrons. This will be described in more detail below.

As used herein the terms "tacky" and "tackiness" means the adhesive, sticky feeling of the hair after the application of some hair care compositions.

As used herein the term "leave-on" means a hair care composition that is intended to be used without a rinsing step. Therefore, leave-on compositions will

generally be left on the hair until the consumer next washes their hair as part of their cleansing regimen. The leave-on compositions of the present invention will preferably comprise less than about 5% of anionic surfactant and will generally comprise less than 5% of non-ionic surfactant.

5

Polysiloxane Resins

The compositions of the present invention comprise polysiloxane resin, wherein at least one substituent group of the resin possesses delocalised electrons. The hair care compositions herein will comprise from about 0.001% to about 5%, preferably from about 0.005% to about 3%, more preferably from about 0.01% to about 2%, even more preferably from about 0.1% to about 1%, by weight, of polysiloxane resin.

15 Polysiloxane resins are highly crosslinked polymeric siloxane systems. The crosslinking is introduced through the incorporation of trifunctional and tetrafunctional silanes with monofunctional or difunctional, or both, silanes during manufacture of the silicone resin. As is well understood in the art, the degree of crosslinking that is required in order to result in a silicone resin will vary according to the specific silane units incorporated into the silicone resin. In general, silicone materials which have a sufficient level of trifunctional and tetrafunctional siloxane monomer units (and hence, a sufficient level of crosslinking) such that they dry down to a rigid, or hard, film are considered to be silicone resins. The ratio of oxygen atoms to silicon atoms is indicative of the level of crosslinking in a particular silicone material. Silicone materials which have at least about 1.1 oxygen atoms per silicon atom will generally be silicone resins herein. Preferably, the ratio of oxygen:silicon atoms is at least about 1.2:1.0. Silanes used in the manufacture of silicone resins include monomethyl, dimethyl, trimethyl, monophenyl, diphenyl, methylphenyl, ethylphenyl, propylphenyl, monovinyl, and methylvinylchlorosilanes, and tetrachlorosilane.

The polysiloxane resin for use herein must have at least one substituent group possessing delocalised electrons. This substituent can be selected from alkyl, aryl, alkoxy, alkaryl, arylalkyl arylalkoxy, alkaryloxy, and combinations thereof. Preferred are aryl, arylalkyl and alkaryl substituents. More preferred are alkaryl and arylalkyl substituents. Even more preferred are alkaryl substituents, particularly 2-phenyl propyl. Whereas at least one substituent must have delocalised electrons, the resins herein will also generally have other substituents without delocalised electrons. Such other substituents can include hydrogen, hydroxyl, alkyl, alkoxy, amino functionalities and mixtures thereof. Preferred are alkyl substituents, especially methyl substituents. Therefore, particularly preferred for use herein is dimethyl (2-phenylpropyl) silyl ester.

As used herein the term "aryl" means a functionality containing one or more homocyclic or heterocyclic rings. The aryl functionalities herein can be unsubstituted or substituted and generally contain from 3 to 16 carbon atoms. Preferred aryl groups include, but are not limited to, phenyl, naphthyl, cyclopentadienyl, anthracyl, pyrene, pyridine, pyrimidine

As used herein the term "alkyl" means a saturated or unsaturated, substituted or unsubstituted, straight or branched-chain, hydrocarbon having from 1 to 10 carbon atoms, preferably 1 to 4 carbon atoms. The term "alkyl" therefore includes alkenyls having from 2 to 8, preferably 2 to 4, carbons and alkynyls having from 2 to 8, preferably 2 to 4, carbons. Preferred alkyl groups include, but are not limited to, methyl, ethyl, propyl, isopropyl, and butyl. More preferred are methyl, ethyl and propyl.

As used herein the term "alkaryl" means a substituent comprising an alkyl moiety and an aryl moiety wherein the alkyl moiety is bonded to the siloxane resin.

As used herein the term "arylalkyl" means a substituent comprising an aryl moiety and an alkyl moiety wherein the aryl moiety is bonded to the siloxane resin.

Silicone materials and silicone resins in particular, can conveniently be identified according to a shorthand nomenclature system well known to those skilled in the art as "MDTQ" nomenclature. Under this system, the silicone is described according to presence of various siloxane monomer units which make up the silicone. Briefly, the symbol M denotes the monofunctional unit $(\text{CH}_3)_3\text{SiO}_{0.5}$; D denotes the difunctional unit $(\text{CH}_3)_2\text{SiO}$; T denotes the trifunctional unit $(\text{CH}_3)\text{SiO}_{1.5}$; and Q denotes the quadri- or tetra-functional unit SiO_2 . Primes of the unit symbols, e.g., M', D', T', and Q' denote siloxane units with one or more substituents other than methyl, and must be specifically defined for each occurrence. Therefore, the polysiloxane resins for use herein must have at least one M', D', T' or Q' functionality that possesses a substituent group with delocalised electrons. Preferred substituents are as defined hereinabove. The molar ratios of the various units, either in terms of subscripts to the symbols indicating the total number of each type of unit in the silicone (or an average thereof) or as specifically indicated ratios in combination with molecular weight complete the description of the silicone material under the MDTQ system.

Preferred polysiloxane resins for use herein are M'Q resins, more preferred are $\text{M}'_6\text{Q}_3$, $\text{M}'_8\text{Q}_4$, $\text{M}'_{10}\text{Q}_5$, $\text{M}'_{12}\text{Q}_6$ resins and mixtures thereof. Preferred M'Q resins are those which have at least one group containing delocalised electrons substituted on each M' functionality. More preferred are resins where the other substituent groups are alkyl, especially methyl.

The polysiloxane resins for use herein will preferably have a viscosity of less than about $5000 \text{ mm}^2\text{s}^{-1}$, more preferably less than about $2000 \text{ mm}^2\text{s}^{-1}$, even more preferably less than about $1000 \text{ mm}^2\text{s}^{-1}$, even more still preferably less than about $600 \text{ mm}^2\text{s}^{-1}$, at 25°C . The viscosity can be measured by means of a Cannon-Fenske Routine Viscometer (ASTM D-445).

Background material on silicones including sections discussing silicone fluids, gums, and resins, as well as manufacture of silicones, can be found in Encyclopaedia of Polymer Science and Engineering (Volume 15, Second Edition, pp. 204-308, John Wiley & Sons, Inc., 1989), incorporated herein by reference.

- 5 Background material on suitable polysiloxane resins including details of their manufacture can be found in U.S. Pat. Nos. 5,539,137; 5,672,338; 5,686,547 and 5,684,112, all of which are incorporated herein by reference.

Optional Ingredients

10

The hair care compositions of the present invention can further comprise a number of optional ingredients. Some non-limiting examples of these optional ingredients are given below.

15 Silicone conditioning agent

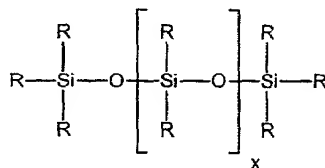
The compositions of the present invention may optionally include an additional silicone conditioning component. The silicone conditioning component may comprise volatile silicone, nonvolatile silicone, or mixtures thereof. Typically, if
20 volatile silicones are present, it will be incidental to their use as a solvent or carrier for commercially available forms of nonvolatile silicone materials ingredients, such as silicone gums and resins. Preferably the silicone is non-volatile, however volatile silicones are not excluded from use herein.

- 25 As used herein, "nonvolatile" refers to silicone material with little or no significant vapour pressure under ambient conditions, as is understood by those in the art. Boiling point under one atmosphere (atm) will preferably be at least about 250°C, more preferably at least about 275°C, most preferably at least about 300°C. Vapour pressure is preferably about 0.2mm Hg at 25°C or less, preferably about
30 0.1mm Hg at 25°C or less.

References disclosing non-limiting examples of some suitable silicone hair conditioning agents, and optional suspending agents for the silicone, are described in WO-A-94/08557 (Brock et al.), U.S. Patent 5,756,436 (Royce et al.), U.S. Patent 5,104,646 (Bolich Jr. et al.), U.S. Patent 5,106,609 (Bolich Jr. et al.)
 5 and U.S. Reissue 34,584 (Grote et al.) British Patent 849,433, all of which are incorporated herein by reference.

Silicone fluid for use in the present compositions include silicone oils which are flowable silicone materials with a viscosity of less than 1,000,000 mm²s⁻¹, preferably between about 5 and 1,000,000 mm²s⁻¹, more preferably between about 10 and about 600,000 mm²s⁻¹, more preferably between about 10 and about 500,000 mm²s⁻¹, most preferably between 10 and 350,000 mm²s⁻¹ at 25°C. The viscosity can be measured by means of a glass capillary viscometer as set forth in Dow Corning Corporate Test Method CTM0004, July 20, 1970. Suitable
 10 silicone oils include polyalkyl siloxanes, polyaryl siloxanes, polyaryalkyl siloxanes, polyalkaryl siloxanes, polyether siloxane copolymers, and mixtures thereof. Other insoluble, nonvolatile silicone fluids having conditioning properties can also be used.

20 Silicone oils for use in the composition include polyalkyl or polyaryl siloxanes which conform to following formula:



where R is aliphatic, preferably alkyl or alkenyl, or aryl, R can be substituted or unsubstituted, and x is an integer from 1 to about 8,000. Suitable unsubstituted
 25 R groups include alkoxy, aryloxy, alkaryl, arylalkyl, alkamino, and ether-substituted, hydroxyl-substituted, and halogen-substituted aliphatic and aryl groups. Suitable R groups also include cationic amines and quaternary ammonium groups.

The aliphatic or aryl groups substituted on the siloxane chain may have any structure as long as the resulting silicones remain fluid at room temperature, are hydrophobic, are neither irritating, toxic nor otherwise harmful when applied to the hair, are compatible with the other components of the herein described hair care compositions, are chemically stable under normal use and storage conditions, are insoluble in the compositions of the present invention and are capable of conditioning the hair.

The two R groups on the silicon atom of each monomeric silicone unit may represent the same group or different groups. Preferably, the two R groups represent the same group.

Preferred alkyl and alkenyl substituents are C₁-C₅ alkyls and alkenyls, more preferably from C₁-C₄, most preferably from C₁-C₂. The aliphatic portions of other alkyl-, alkenyl-, or alkynyl-containing groups (such as alkoxy, alkaryl, and alkamino) can be straight or branched chains and preferably have from one to five carbon atoms, more preferably from one to four carbon atoms, even more preferably from one to three carbon atoms, most preferably from one to two carbon atoms. As discussed above, the R substituents hereof can also contain amino functionalities, e.g. alkamino groups, which can be primary, secondary or tertiary amines or quaternary ammonium. These include mono-, di- and tri-alkylamino and alkoxyamino groups wherein the aliphatic portion chain length is preferably as described above. The R substituents can also be substituted with other groups, such as halogens (e.g. chloride, fluoride, and bromide), halogenated aliphatic or aryl groups, and hydroxy (e.g. hydroxy substituted aliphatic groups). Suitable halogenated R groups could include, for example, tri-halogenated (preferably fluoro) alkyl groups such as -R¹-C(F)₃, wherein R¹ is C₁-C₃ alkyl. Examples of such polysiloxanes include polymethyl -3,3,3-trifluoropropylsiloxane.

Suitable R groups include methyl, ethyl, propyl, phenyl, methylphenyl and phenylmethyl. The preferred silicones are polydimethyl siloxane, polydiethylsiloxane, and polymethylphenylsiloxane. Polydimethylsiloxane is especially preferred. Other suitable R groups include methyl, methoxy, ethoxy, propoxy, and aryloxy. The three R groups on the end caps of the silicone may also represent the same or different groups.

The nonvolatile polyalkylsiloxane fluids that may be used include, for example, polydimethylsiloxanes. These siloxanes are available, for example, from the General Electric Company in their Viscasil R and SF 96 series, and from Dow Corning in their Dow Corning 200 series.

The polyalkylaryl siloxane fluids that may be used, also include, for example, polymethylphenylsiloxanes. These siloxanes are available, for example, from the General Electric Company as SF 1075 methyl phenyl fluid or from Dow Corning as 556 Cosmetic Grade Fluid.

The polyether siloxane copolymers that may be used include, for example, a polypropylene oxide modified polydimethylsiloxane (e.g., Dow Corning DC-1248) although ethylene oxide or mixtures of ethylene oxide and propylene oxide may also be used. For insoluble silicones the ethylene oxide and polypropylene oxide level must be sufficiently low to prevent solubility in water and the composition hereof.

Other suitable silicone fluids for use in the silicone conditioning agents are insoluble silicone gums. These gums are polyorganosiloxane materials having a viscosity at 25°C of greater than or equal to 1,000,000 centistokes. Silicone gums are described in U.S. Patent 4,152,416; Noll and Walter, Chemistry and Technology of Silicones, New York: Academic Press 1968; and in General Electric Silicone Rubber Product Data Sheets SE 30, SE 33, SE 54 and SE 76, all of which are incorporated herein by reference. The silicone gums will typically have a mass molecular weight in excess of about 200,000, generally between

about 200,000 and about 1,000,000, specific examples of which include polydimethylsiloxane, (polydimethylsiloxane) (methylvinylsiloxane) copolymer, poly(dimethylsiloxane) (diphenyl siloxane)(methylvinylsiloxane) copolymer and mixtures thereof.

5

The silicone conditioning agent can also comprise a mixture of polydimethylsiloxane gum (viscosity greater than about 1,000,000 centistokes) and polydimethylsiloxane oil (viscosity from about 10 to about 100,000 centistokes), wherein the ratio of gum to fluid is from about 30:70 to about 70:30, preferably from about 40:60 to about 60:40.

10

The number average particle size of the optional silicone component can vary widely without limitation and will depend on the formulation and/or the desired characteristics. Number average particle sizes preferred for use in the present invention will typically range from about 10 nanometres to about 100 microns, more preferably from about 30 nanometres to about 20 microns.

15

Cationic Conditioning Agents

The compositions of the present invention can also comprise one or more cationic polymer conditioning agents. The cationic polymer conditioning agents will preferably be water soluble. Cationic polymers are typically at concentrations of from about 0.001% to about 20%, more typically from about 0.005% to about 10%, preferably from about 0.01% to about 2%, by weight, of the total composition.

25

By "water soluble" cationic polymer, what is meant is a polymer which is sufficiently soluble in water to form a substantially clear solution to the naked eye at a concentration of 0.1% in water (distilled or equivalent) at 25°C. Preferably, the polymer will be sufficiently soluble to form a substantially clear solution at 0.5% concentration, more preferably at 1.0% concentration.

30

As used herein, the term "polymer" shall include materials whether made by polymerisation of one type of monomer or made by two (i.e., copolymers) or more types of monomers.

5

The cationic polymers hereof will generally have a weight average molecular weight which is at least about 5,000, typically at least about 10,000, and is less than about 10 million. Preferably, the molecular weight is from about 100,000 to about 2 million. The cationic polymers will generally have cationic nitrogen-containing moieties such as quaternary ammonium or cationic amino moieties, and mixtures thereof.

10

The cationic charge density is preferably at least about 0.1 meq/g, more preferably at least about 0.5 meq/g, even more preferably at least about 1.1 meq/g, most preferably at least about 1.2 meq/g. Generally, for practical purposes, the cationic polymers will have a cationic charge density of less than about 7meq/g, preferably less than about 5meq/g, more preferably less than about 3.5meq/g, even more preferably less than about 2.5meq/g. Cationic charge density of the cationic polymer can be determined using the Kjeldahl Method (United States Pharmacopoeia - Chemical tests - <461> Nitrogen Determination - method II). Those skilled in the art will recognise that the charge density of some amino-containing polymers may vary depending upon pH and the isoelectric point of the amino groups. The charge density should be within the above limits at the pH of intended use.

15

20

25

Any anionic counterions can be utilized for the cationic polymers so long as the water solubility criteria is met. Suitable counterions include halides (e.g., Cl, Br, I, or F, preferably Cl, Br, or I), sulfate, and methylsulfate. Others can also be used, as this list is not exclusive.

30

The cationic nitrogen-containing moiety will be present generally as a substituent, on a fraction of the total monomer units of the cationic hair conditioning polymers.

Thus, the cationic polymer can comprise copolymers, terpolymers, etc. of quaternary ammonium or cationic amine-substituted monomer units and other non-cationic units referred to herein as spacer monomer units. Such polymers are known in the art, and a variety can be found in the CTFA International
5 Cosmetic Ingredient Dictionary and Handbook, 7th edition, edited by Wenninger and McEwen, (The Cosmetic, Toiletry, and Fragrance Association, Inc., Washington, D.C., 1997).

Suitable cationic polymers include, for example, copolymers of vinyl monomers
10 having cationic amine or quaternary ammonium functionalities with water soluble spacer monomers such as acrylamide, methacrylamide, alkyl and dialkyl acrylamides, alkyl and dialkyl methacrylamides, alkyl acrylate, alkyl methacrylate, vinyl caprolactone, and vinyl pyrrolidone. The alkyl and dialkyl substituted monomers preferably have C₁-C₇ alkyl groups, more preferably C₁-C₃ alkyl
15 groups. Other suitable spacer monomers include vinyl esters, vinyl alcohol (made by hydrolysis of polyvinyl acetate), maleic anhydride, propylene glycol, and ethylene glycol.

The cationic amines can be primary, secondary, or tertiary amines, depending
20 upon the particular species and the pH of the composition. In general, secondary and tertiary amines, especially tertiary amines, are preferred.

Amine-substituted vinyl monomers can be polymerised in the amine form, and then optionally can be converted to ammonium by a quaternization reaction.
25 Amines can also be similarly quaternized subsequent to formation of the polymer. For example, tertiary amine functionalities can be quaternized by reaction with a salt of the formula R'⁺X⁻ wherein R' is a short chain alkyl, preferably a C₁-C₇ alkyl, more preferably a C₁-C₃ alkyl, and X is an anion which forms a water soluble salt with the quaternized ammonium.

Suitable cationic amino and quaternary ammonium monomers include, for example, vinyl compounds substituted with dialkylaminoalkyl acrylate, dialkylaminoalkyl methacrylate, monoalkylaminoalkyl acrylate, monoalkylaminoalkyl methacrylate, trialkyl methacryloxyalkyl ammonium salt, trialkyl acryloxyalkyl ammonium salt, diallyl quaternary ammonium salts, and vinyl quaternary ammonium monomers having cyclic cationic nitrogen-containing rings such as pyridinium, imidazolium, and quaternized pyrrolidone, e.g., alkyl vinyl imidazolium, alkyl vinyl pyridinium, alkyl vinyl pyrrolidone salts. The alkyl portions of these monomers are preferably lower alkyls such as the C₁-C₃ alkyls, more preferably C₁ and C₂ alkyls. Suitable amine-substituted vinyl monomers for use herein include dialkylaminoalkyl acrylate, dialkylaminoalkyl methacrylate, dialkylaminoalkyl acrylamide, and dialkylaminoalkyl methacrylamide, wherein the alkyl groups are preferably C₁-C₇ hydrocarbyls, more preferably C₁-C₃ alkyls.

The cationic polymers hereof can comprise mixtures of monomer units derived from amine- and/or quaternary ammonium-substituted monomer and/or compatible spacer monomers.

Suitable cationic hair conditioning polymers include, for example: copolymers of 1-vinyl-2-pyrrolidone and 1-vinyl-3-methylimidazolium salt (e.g., chloride salt) (referred to in the industry by the Cosmetic, Toiletry, and Fragrance Association, "CTFA", as Polyquaternium-16), such as those commercially available from BASF Wyandotte Corp. (Parsippany, NJ, USA) under the LUVIQUAT tradename (e.g., LUVIQUAT FC 370); copolymers of 1-vinyl-2-pyrrolidone and dimethylaminoethyl methacrylate (referred to in the industry by CTFA as Polyquaternium-11) such as those commercially available from Gaf Corporation (Wayne, NJ, USA) under the GAFQUAT tradename (e.g., GAFQUAT 755N); cationic diallyl quaternary ammonium-containing polymers, including, for example, dimethyldiallylammonium chloride homopolymer and copolymers of acrylamide and dimethyldiallylammonium chloride, referred to in the industry (CTFA) as Polyquaternium 6 and Polyquaternium 7, respectively; and mineral

acid salts of amino-alkyl esters of homo- and co-polymers of unsaturated carboxylic acids having from 3 to 5 carbon atoms, as described in U.S. Patent 4,009,256, incorporated herein by reference.

- 5 Preferred cationic polymers for use herein are cationic polymers and copolymers of saccharides. The cationic polysaccharides useful in the present invention include those polymers based on 5 or 6 carbon sugars and derivatives which have been made water-soluble by, for example, derivatising them with ethylene oxide. These polymers may be bonded via any of several arrangements, such
10 as 1,4- α , 1,4- β , 1,3- α , 1,3- β and 1,6 linkages. The monomers may be in straight chain or branched chain geometric arrangements.

- Suitable non-limiting examples of cationic polysaccharides include those based on the following: celluloses, hydroxyalkylcelluloses, starches,
15 hydroxyalkylstarches, polymers based on arabinose monomers, polymers derived from xylose, polymers derived from fucose, polymers derived from fructose, polymers based on acid-containing sugars such as galacturonic acid and glucuronic acid, polymers based on amine sugars such as galactosamine and glucosamine particularly acetylglucosamine, polymers based on 5 or 6
20 membered ring polyalcohols, polymers based on galactose, polymers based on mannose monomers and polymers based on galactomannan copolymer known as guar gum.

- Preferred for providing shine and conditioning benefits to the hair with reduced
25 tack and greasiness are cationic polymers based on celluloses and acetylglucosamine derivatives, especially cationic polymers of cellulose derivatives. Non-limiting examples of suitable cationic polymers are those available from Amerchol Corp. (Edison, NJ, USA) as salts of hydroxyethyl cellulose reacted with trimethyl ammonium substituted epoxide, referred to in the
30 industry (CTFA) as Polyquaternium 10. Background material on these polymers and their manufacture, can be found in U.S. Pat. No. 3,472,840 (issued Oct. 14 1969 to Stone), herein incorporated by reference. Other types of cationic

cellulose include the polymeric quaternary ammonium salts of hydroxyethyl cellulose reacted with lauryl dimethyl ammonium-substituted epoxide, referred to in the industry (CTFA) as Polyquaternium 24, available from Amerchol Corp. (Edison, NJ, USA) and polymeric quaternary ammonium salts of hydroxyethyl cellulose reacted with diallyl dimethyl ammonium chloride, referred to in the industry (CTFA) as Polyquaternium 4, available from National Starch (Salisbury, NC, USA).

The cationic copolymers of saccharides useful in the present invention encompass those containing the following saccharide monomers: glucose, galactose, mannose, arabinose, xylose, fucose, fructose, glucosamine, galactosamine, glucuronic acid, galacturonic acid, and 5 or 6 membered ring polyalcohols. Also included are hydroxymethyl, hydroxyethyl and hydroxypropyl derivatives of the above sugars. When saccharides are bonded to each other in the copolymers, they may be bonded via any of several arrangements, such as 1,4- α , 1,4- β , 1,3- α , 1,3- β and 1,6 linkages. Any other monomers can be used as long as the resultant polymer is suitable for use in hair care. Non-limiting examples of other monomers useful herein include dimethyldiallylammonium chloride, dimethylaminoethylmethyl acrylate, diethyldiallylammonium chloride, N,N-diallyl,N-N-dialkyl ammonium halides, and the like.

As discussed above, the cationic polymer hereof is water soluble. This does not mean, however, that it must be soluble in the composition. Preferably however, the cationic polymer is either soluble in the composition, or in a complex coacervate phase in the composition formed by the cationic polymer and anionic material. Complex coacervates of the cationic polymer can be formed with anionic surfactants or with anionic polymers that can optionally be added to the compositions hereof (e.g., sodium polystyrene sulfonate).

Sensates

The hair care compositions of the present invention may also comprise a sensate. As used herein the term "sensate" means a substance that, when applied to the skin, causes a perceived sensation of a change in conditions, for example, but not limited to, heating, cooling, refreshing and the like.

5

Sensates are preferably utilized at levels of from about 0.001% to about 10%, more preferably from about 0.005% to about 5%, even more preferably from about 0.01% to about 1%, by weight, of the total composition.

10 Any sensate suitable for use in hair care compositions may be used herein. A non-limiting, exemplary list of suitable sensates can be found in GB-B-1315626, GB-B-1404596 and GB-B-1411785, all incorporated by reference herein. Preferred sensates for use in the compositions herein are camphor, menthol, l-isopulegol, ethyl menthane carboxamide and trimethyl isopropyl butanamide.

15

C₁-C₆ Aliphatic Alcohols

The compositions of the present invention may optionally comprise C₁-C₆, preferably C₂-C₃, more preferably C₂, aliphatic alcohol. The aliphatic alcohol will
20 generally comprise from about 1% to about 75%, preferably from about 10% to about 40%, more preferably from about 15% to about 30%, even more preferably from about 18% to about 26%, by weight, of the total composition.

Viscosity Modifier

25

The compositions of the present invention can also comprise viscosity modifiers. Any viscosity modifier suitable for use in hair care compositions may be used herein. Generally, if present, the viscosity modifier will comprise from about 0.01% to about 10%, preferably from about 0.05% to about 5%, more preferably
30 from about 0.1% to about 3%, by weight, of the total composition. A non-limiting list of suitable viscosity modifiers can be found in the CTFA International Cosmetic Ingredient Dictionary and Handbook, 7th edition, edited by Wenninger

and McEwen, (The Cosmetic, Toiletry, and Fragrance Association, Inc., Washington, D.C., 1997), herein incorporated by reference.

Suitable viscosity modifiers for use herein include shear sensitive viscosity
5 modifiers. As used herein "shear sensitive viscosity modifiers" means viscosity
modifiers that can form compositions whose viscosity decreases at low shear
rates. Shear rate (s^{-1}) can be defined as the ratio of the velocity (ms^{-1}) of material
to its distance from a stationary object (m). Shear rates of less than about $250s^{-1}$
can be thought of as "low shear rates". Any shear sensitive viscosity modifier
10 suitable for use in hair care may be used herein. However, preferred for use
herein are viscosity modifiers which form compositions whose viscosity
decreases at a shear rate of less than about $100s^{-1}$, more preferably less than
about $50s^{-1}$. In addition, preferred shear sensitive viscosity modifiers are those
which can form compositions whose viscosity decreases by more than about
15 30%, preferably more than about 50%, more preferably more than about 70%,
even more preferably more than about 80% at a shear rate of $50s^{-1}$.

Preferred viscosity modifiers for use herein are those which form compositions
whose viscosity is also sensitive to the electrolyte concentration in the aqueous
20 phase, known hereafter as "salt sensitive viscosity modifiers". Background
material on the properties of salt sensitive viscosity modifiers can be found in
American Chemical Society Symposium Series (1991), Vol. 462, pp101-120,
incorporated herein by reference. Any salt sensitive viscosity modifier suitable for
use in hair care compositions may be used herein.

25

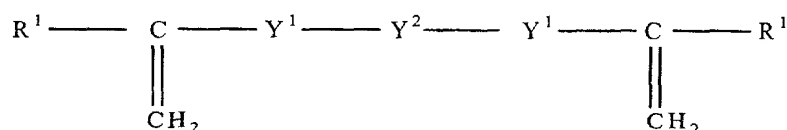
Examples of suitable viscosity modifiers include, but are not limited to, synthetic
hectorites, carboxylic anionic polymers/copolymers and carboxylic anionic cross-
linked polymers/ copolymers. Preferred for use herein are carboxylic anionic
cross-linked polymers and copolymers. More preferred are carboxylic anionic
30 cross-linked copolymers.

The synthetic hectorites useful herein are synthetic layered silicates such as sodium-magnesium silicate. Examples of suitable synthetic hectorites include those available from Laporte Plc., United Kingdom under the trade name Laponite.

5

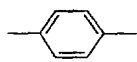
The carboxylic anionic copolymers useful herein can be hydrophobically-modified cross-linked copolymers of carboxylic acid and alkyl carboxylate, and have an amphiphilic property. These carboxylic anionic copolymers are obtained by copolymerising 1) a carboxylic acid monomer such as acrylic acid, methacrylic acid, maleic acid, maleic anhydride, itaconic acid, fumaric acid, crotonic acid, or α -chloroacrylic acid, 2) a carboxylic ester having an alkyl chain of from 1 to about 30 carbons, and preferably 3) a crosslinking agent of the following formula:

10



15

wherein R^1 is a hydrogen or an alkyl group having from about 1 to about 30 carbons; Y^1 , independently, is oxygen, CH_2O , COO , OCO ,



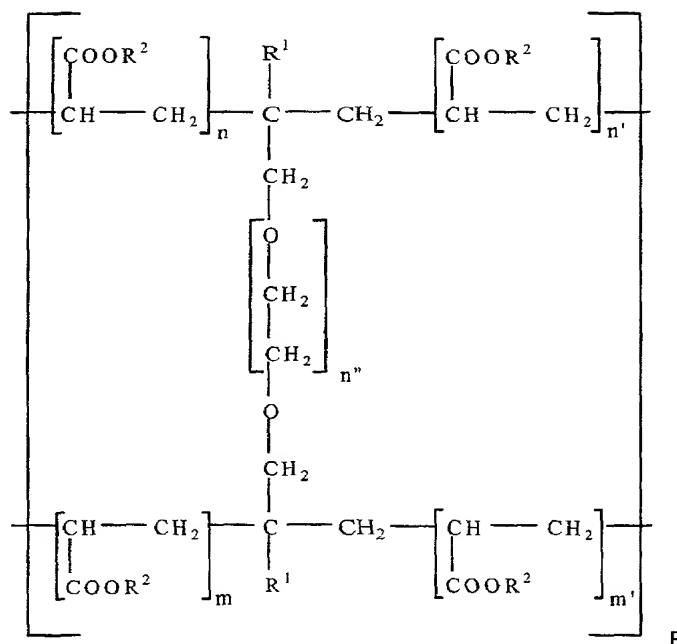
, or $\begin{array}{c} -C-N- \\ || \quad | \\ O \quad R^2 \end{array}$, wherein R^2 is a hydrogen or an alkyl group having

from about 1 to about 30 carbons; and Y^2 is selected from $(CH_2)_{m''}$, $(CH_2CH_2O)_{m''}$, or $(CH_2CH_2CH_2O)_{m''}$ wherein m'' is an integer of from 1 to about 30.

20

Suitable carboxylic anionic copolymers herein are acrylic acid/alkyl acrylate copolymers having the following formula:

25



wherein R^2 , independently, is a hydrogen or an alkyl of 1 to 30 carbons wherein at least one of R^2 is a hydrogen, R^1 is as defined above, n , n' , m and m' are integers in which $n+n'+m+m'$ is from about 40 to about 100, n'' is an integer of from 1 to about 30, and P is defined so that the copolymer has a molecular weight of about 5000 to about 3,000,000.

Neutralizing agents may be included to neutralize the carboxylic anionic copolymers herein. Non-limiting examples of such neutralizing agents include sodium hydroxide, potassium hydroxide, ammonium hydroxide, monethanolamine, diethanolamine, triethanolamine, diisopropanolamine, aminomethylpropanol, tromethamine, tetrahydroxypropyl ethylenediamine, and mixtures thereof.

15

Non-limiting examples of suitable carboxylic anionic viscosity modifiers, including details of their manufacture, can be found in U.S. Pat. Nos. 3,940,351; 5,288,814; 5,349,030; 5,373,044 and 5,468,797, all of which are incorporated herein by reference. Examples of carboxylic anionic viscosity modifiers include those available from B.F. Goodrich, Cleveland, OH, USA under the trade names

20

Pemulen TR-1, Pemulen TR-2, Carbopol 980, Carbopol 981, Carbopol ETD-2020, Carbopol ETD-2050 and Carbopol Ultrez 10. Preferred are Carbopol ETD-2020, Carbopol ETD-2050 and Carbopol Ultrez 10, especially Carbopol Ultrez 10.

5

Particularly preferred viscosity modifiers for use herein from the viewpoint of improving spreadability, reducing tack and improving shine are carboxylic anionic viscosity modifiers such as Carbopol Ultrez 10.

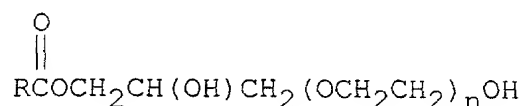
10 Polyethylene glycol derivatives of glycerides

Suitable polyethylene glycol derivatives of glycerides include any polyethylene glycol derivative of glycerides which are water-soluble and which are suitable for use in a hair care composition. Suitable polyethylene glycol derivatives of glycerides for use herein include derivatives of mono-, di- and tri-glycerides and mixtures thereof.

15

One class of polyethylene glycol derivatives of glycerides suitable herein are those which conform to the general formula (I):

20



wherein n, the degree of ethoxylation, is from about 4 to about 200, preferably from about 5 to about 150, more preferably from about 20 to about 120, and wherein R comprises an aliphatic radical having from about 5 to about 25 carbon atoms, preferably from about 7 to about 20 carbon atoms.

25

Suitable polyethylene glycol derivatives of glycerides can be polyethylene glycol derivatives of hydrogenated castor oil. For example, PEG-20 hydrogenated castor oil, PEG-30 hydrogenated castor oil, PEG-40 hydrogenated castor oil, PEG-45 hydrogenated castor oil, PEG-50 hydrogenated castor oil, PEG-54

30

hydrogenated castor oil, PEG-55 hydrogenated castor oil, PEG-60 hydrogenated castor oil, PEG-80 hydrogenated castor oil, and PEG-100 hydrogenated castor oil. Preferred for use in the compositions herein is PEG-60 hydrogenated castor oil.

5

Other suitable polyethylene glycol derivatives of glycerides can be polyethylene glycol derivatives of stearic acid. For example, PEG-30 stearate, PEG-40 stearate, PEG-50 stearate, PEG-75 stearate, PEG-90 stearate, PEG-100 stearate, PEG-120 stearate, and PEG-150 stearate. Preferred for use in the

10

Cationic Surfactant

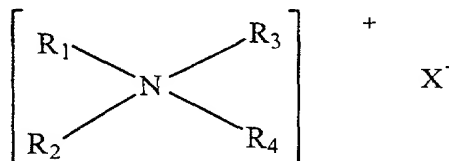
Cationic surfactants useful in compositions of the present invention, contain

15 amino or quaternary ammonium moieties. The cationic surfactant will preferably, though not necessarily, be insoluble in the compositions hereof. Cationic surfactants among those useful herein are disclosed in the following documents, all incorporated by reference herein: M.C. Publishing Co., McCutcheon's, Detergents & Emulsifiers, (North American edition 1979); Schwartz, et al.;

20 Surface Active Agents, Their Chemistry and Technology, New York: Interscience Publishers, 1949; U.S. Patent 3,155,591, Hilfer, issued November 3, 1964; U. S. Patent 3,929,678, Laughlin et al., issued December 30, 1975; U. S. Patent 3,959,461, Bailey et al., issued May 25, 1976; and U. S. Patent 4,387,090, Bolich, Jr., issued June 7, 1983.

25

Among the quaternary ammonium-containing cationic surfactant materials useful herein are those of the general formula:



wherein R₁-R₄ are independently an aliphatic group of from about 1 to about 22 carbon atoms or an aromatic, alkoxy, polyoxyalkylene, alkylamido, hydroxyalkyl, aryl or alkylaryl group having from about 1 to about 22 carbon atoms; and X is a salt-forming anion such as those selected from halogen, (e.g. chloride, bromide), acetate, citrate, lactate, glycolate, phosphate nitrate, sulfate, and alkylsulfate radicals. The aliphatic groups may contain, in addition to carbon and hydrogen atoms, ether linkages, and other groups such as amino groups. The longer chain aliphatic groups, e.g., those of about 12 carbons, or higher, can be saturated or unsaturated. Especially preferred are mono-long chain (e.g., mono C₁₂-C₂₂, preferably C₁₂-C₁₈, more preferably C₁₆, aliphatic, preferably alkyl), di-short chain (e.g., C₁-C₃ alkyl, preferably C₁-C₂ alkyl) quaternary ammonium salts.

Salts of primary, secondary and tertiary fatty amines are also suitable cationic surfactant materials. The alkyl groups of such amines preferably have from about 12 to about 22 carbon atoms, and may be substituted or unsubstituted. Such amines, useful herein, include stearamido propyl dimethyl amine, diethyl amino ethyl stearamide, dimethyl stearamine, dimethyl soyamine, soyamine, myristyl amine, tridecyl amine, ethyl stearylamine, N-tallowpropane diamine, ethoxylated (with 5 moles of ethylene oxide) stearylamine, dihydroxy ethyl stearylamine, and arachidylbehenylamine. Suitable amine salts include the halogen, acetate, phosphate, nitrate, citrate, lactate, and alkyl sulfate salts. Such salts include stearylamine hydrochloride, soyamine chloride, stearylamine formate, N-tallowpropane diamine dichloride, stearamidopropyl dimethylamine citrate, cetyl trimethyl ammonium chloride and dicetyl diammonium chloride. Preferred for use in the compositions herein is cetyl trimethyl ammonium chloride. Cationic amine surfactants included among those useful in the present invention are disclosed in U.S. Patent 4,275,055, Nachtigal, et al., issued June 23, 1981, incorporated by reference herein.

Cationic surfactants are preferably utilized at levels of from about 0.1% to about 10%, more preferably from about 0.25% to about 5%, most preferably from about 0.3% to about 0.7%, by weight of the composition.

5 Fatty Alcohols

The hair care compositions of the present invention may also comprise fatty alcohols. Any fatty alcohol suitable for use in hair care may be used herein. However, preferred are C₈ to C₂₂, more preferred are C₁₂ to C₁₈, even more
10 preferred are C₁₆, fatty alcohols.

Fatty alcohols are preferably utilized at levels of from about 0.1% to about 20%, more preferably from about 0.25% to about 10%, most preferably from about 0.5% to about 5%, by weight of the composition.

15 If both fatty alcohol and cationic surfactant are present the ratio of alcohol:surfactant is preferably in the range of from about 3:1 to about 6:1, more preferably 4:1.

20 Water

The compositions of the present invention will also generally contain water. When present water will generally comprise from about 25% to about 99%, preferably from about 50% to about 98%, more preferably from about 65% to
25 about 95%, by weight, of the total composition.

Additional Components

30 The compositions herein can contain a variety of other optional components suitable for rendering such compositions more cosmetically or aesthetically acceptable or to provide them with additional usage benefits. Such conventional optional ingredients are well-known to those skilled in the art.

A wide variety of additional ingredients can be formulated into the present composition. These include: other hair conditioning ingredients such as panthenol, panthetine, pantotheine, panthenyl ethyl ether, and combinations thereof; other solvents such as hexylene glycol; hair-hold polymers such as those described in WO-A-94/08557, herein incorporated by reference; deterative surfactants such as anionic, nonionic, amphoteric, and zwitterionic surfactants; additional viscosity modifiers and suspending agents such as xanthan gum, guar gum, hydroxyethyl cellulose, triethanolamine, methyl cellulose, starch and starch derivatives; viscosity modifiers such as methanolamides of long chain fatty acids such as cocomoethanol amide; crystalline suspending agents; pearlescent aids such as ethylene glycol distearate; opacifiers such as polystyrene; preservatives such as phenoxyethanol, benzyl alcohol, methyl paraben, propyl paraben, imidazolidinyl urea and the hydantoin; polyvinyl alcohol; ethyl alcohol; pH adjusting agents, such as lactic acid, citric acid, sodium citrate, succinic acid, phosphoric acid, sodium hydroxide, sodium carbonate; salts, in general, such as potassium acetate and sodium chloride; colouring agents, such as any of the FD&C or D&C dyes; hair oxidising (bleaching) agents, such as hydrogen peroxide, perborate and persulfate salts; hair reducing agents, such as the thioglycolates; perfumes; sequestering agents, such as tetrasodium ethylenediamine tetra-acetate; anti-dandruff agents such as zinc pyrithione (ZPT), sulfur, selenium sulfide, coal tar, piroctone olamine, ketoconazole, climbazole, salicylic acid; antioxidants/ultra violet filtering agents such as octyl methoxycinnamate, benzophenone-3 and DL-alpha tocopherol acetate and polymer plasticizing agents, such as glycerine, diisobutyl adipate, butyl stearate, and propylene glycol. Such optional ingredients generally are used individually at levels from about 0.001% to about 10.0%, preferably from about 0.05% to about 5.0% by weight of the composition.

The hair care compositions of the present invention can be formulated in a wide variety of product forms, including but not limited to creams, gels, aerosol or non-aerosol foams, mousses and sprays. Mousses, foams and sprays can be formulated with propellants such as propane, butane, pentane, dimethylether, hydrofluorocarbon, CO₂, N₂O, or without specifically added propellants (using air as the propellant in a pump spray or pump foamer package). In preferred embodiments the compositions of the present invention will be packaged in packages having instructions indicating that the composition is intended to be left on the hair.

Method of Use

The leave-on hair care compositions of the present invention may be used in a conventional manner for care of human hair. An effective amount of the composition, typically from about 1 gram to about 50 grams, preferably from about 1 gram to about 20 grams, is applied to the hair.

Application of the composition typically includes working the composition through the hair, generally with the hands and fingers or with a suitable implement such as a comb or brush, to ensure good coverage. The composition is then left on the hair, generally until the consumer next washes their hair.

The preferred method treating the hair therefore comprises the steps of:

- (a) applying an effective amount of the hair care composition to wet, damp or dry hair,
- (b) working the hair care composition into the hair with hands and fingers or with a suitable implement.
- (c) leaving the composition on the hair.

Examples

The following examples further illustrate the preferred embodiments within the scope of the present invention. The examples are given solely for the purposes of illustration and are not to be construed as limitations of the present invention as many variations of the invention are possible without departing from its spirit or scope.

Examples I-IV (%wt)

	I (Spray)	II (Gel)	III (Gel)	IV (Gel)	
Carbopol Ultrez 10 ¹	-	0.50	-	-	A
Carbopol 934 ²	-	-	-	0.60	A
Laponite ³	-	-	3.00	-	A
Polyquaternium 10 ⁴	0.075	-	0.30	0.20	A
trisodium citrate	0.70	0.10	-	-	A
methyl parabens	-	-	0.30	0.50	A
propyl parabens	-	-	0.30	0.40	A
DMDM hydantoin	-	-	0.05	0.05	A
tetra sodium EDTA	-	-	0.10	0.30	A
citric acid	-	-	-	0.20	A
Ethanol (denatured)	25.00	20.00	-	-	B
l-isopulegol ⁵	-	0.20	0.10	-	B
PEG 60 hydrogenated castor oil ⁶	0.80	0.10	0.50	0.10	B
CAPB ⁷	-	-	0.30	-	B
piroctone olamine ⁸	-	0.50	-	-	B
lactic acid	0.10	0.02	0.15	-	B
phenoxyethanol	0.20	0.30	-	-	B
Perfume	0.10	0.25	0.10	0.40	B
CI 42045 (Acid blue 1)	-	0.0001	0.0001	-	B
Triethanolamine	-	0.30	-	0.40	C
Dimethicone ⁹	0.20	-	-	0.20	C
2-phenyl propyl M'Q resin	0.20	1.00	1.00	0.40	C
Water	qs	qs	qs	qs	

1) Carbopol Ultrez 10 supplied by BF Goodrich

2) Carbopol 934 supplied by BF Goodrich

3) Laponite XLG supplied by Laporte Co

4) Polymer JR30M supplied by Amerchol

5) Coolact P supplied by Takasago

6) Cremophor RH-60 supplied by BASF

7) Tegobetaine F supplied by T H Goldschmidt

8) Octopirox supplied by Hoechst

9) DC200 supplied by Dow Corning

All of ingredients A are added to water and stirred thoroughly under ambient conditions until a homogenous solution is obtained. All of ingredients B are mixed together and then added to the homogenous solution of ingredients A. All of ingredients C are then added and the resulting solution is thoroughly mixed.

5

Examples V-VII (%wt)

	V (Cream)	VI (Cream)	VII (Balm)	
Carpopol Ultrez 10 ¹	1.00	-	0.40	A
Acrylates/C10-30 alkyl acrylate cross polymer ²	-	0.60	-	A
Cetyl alcohol ³	2.00	1.00	-	B
Stearyl alcohol	-	1.00	1.50	B
methyl parabens	0.08	-	-	B
propyl parabens	0.04	-	-	B
Cetrimonium chloride ⁴	1.00	-	-	B
PEG 60 hydrogenated castor oil ⁵	0.05	-	-	B
CAPB ⁶	0.10	-	-	B
Ammonium lauryl sulphate ⁷	-	-	0.20	B
PEG100 stearate ⁸	-	0.13	-	B
Polyquaternium 10 ⁹	1.00	-	0.10	C
Polyquaternium 4 ¹⁰	0.10	-	-	C
Phenyl M'Q resin	-	-	0.10	C
2-phenyl propyl M'Q resin	0.20	0.50	-	C
Ethanol (denatured)	-	30.00	15.00	C
l-isopulegol ¹¹	-	0.50	0.05	C
Camphor	0.10	-	-	C
zinc pyrithione	0.03	-	-	C
octyl methoxycinnamate	0.10	-	-	C
benzophenone-3	0.02	-	-	C
lactic acid	-	0.15	0.10	C
phenoxyethanol	0.20	0.20	0.20	C
DMDM hydantoin	-	-	0.05	C
tetra sodium EDTA	0.01	-	0.30	C
citric acid	0.10	-	-	C

Perfume	0.60	1.00	0.80	C
Triethanolamine	0.60	0.40	0.20	D
Water	qs	qs	qs	

- 1) Carbopol Ultrez 10 supplied by BF Goodrich
- 2) Pemulen TR2 supplied by BF Goodrich
- 3) Crodacol C-95 supplied by Croda Inc.
- 4) Dehyquat A supplied by Henkel
- 5) Cremophor RH-60 supplied by BASF
- 6) Tegobetaine F supplied by T H Goldschmidt
- 7) Empicol AL30 supplied by Albright & Wilson
- 8) Myrj 59 supplied by ICI Surfactants
- 9) Polymer JR30M supplied by Amerchol
- 10) Celquat L200 supplied by National Starch
- 11) Coolact P supplied by Takasago

All of ingredients A are solubilized in water and then heated to 80°C. All of ingredients B are then added. The solution is then cooled by recirculation to

- 5 30°C through a plate heat exchanger with simultaneous high shear mixing. The cooling rate is maintained at between 1.0 and 1.5°C/min. Approximately 50% of ingredient D, triethanolamine, is then added and the solution is mixed until homogenous. All of ingredients C are then added and the resulting solution is high shear mixed until homogenous particle size distribution is achieved.
- 10 Recirculation is then stopped to prevent shear stress damage to the product during completion of neutralisation. The remaining ingredient D is added until the specified pH and viscosity are reached.

Example VIII (%wt)

	VIII (Lotion)	
Water	qs	-
methyl parabens	0.50	A
propyl parabens	0.40	A
Cetyl alcohol ¹	1.60	A
Cetrimonium chloride ²	0.40	A
PEG 60 hydrogenated castor oil ³	0.10	A
Cationic Polymer of hydroxyethyl cellulose ⁴	0.20	B
Styryl M'Q resin	0.20	C
octyl methoxycinnamate	0.10	C
benzophenone-3	0.02	C
DL-alpha tocopherol acetate	0.03	C
DMDM hydantoin	0.05	C
tetra sodium EDTA	0.30	C
citric acid	0.20	C

Perfume	0.40	C
---------	------	---

1) Crodacol C-95 supplied by Croda Inc.	4) Polymer having charge density of 1.93meg/g
2) Dehyquat A supplied by Henkel	and wt average mol. Wt of 1.25million. Available
3) Cremophor RH-60 supplied by BASF	from Amerchol.

Ingredients A are solubilized in water and then heated to 80°C. The resulting mixture cooled to 30°C through a plate heat exchanger with simultaneous high shear mixing. The cooling rate is maintained at between 1.0 and 1.5°C/min. All of ingredients C are then added. This mixture is then stirred until homogenous. Ingredient B is then solubilized in water and added to the main mix. This mixture is then subjected to high shear mixing until homogenous particle size distribution is achieved.

10

All of the exemplified compositions show good conditioning and shine while at the same time having reduced tackiness and greasiness.

T08290-9231-200

CLAIMS:

1. A hair care composition comprising from about 0.001% to about 5% of polysiloxane resin, wherein at least one substituent group of the resin possesses delocalised electrons and wherein the composition is a leave-on composition.
2. A hair care composition according to Claim 1 wherein the polysiloxane resin comprises from about 0.01% about 2%, more preferably from about 0.1% to 1%, by weight, of the total composition.
3. A hair care composition according to any of the preceding claims wherein the polysiloxane resin substituent group possessing the delocalised electrons is selected from aryl, arylalkyl and alkaryl groups.
4. A hair care composition according to any of the preceding claims wherein the polysiloxane resin substituent group possessing the delocalised electrons is selected from alkaryl groups.
5. A hair care composition according to any of the preceding claims wherein the polysiloxane is a 2-phenylpropyl substituted polysiloxane resin.
6. A hair care composition according to any of the preceding claims wherein the polysiloxane resin has a viscosity of less than about $5000 \text{ mm}^2\text{s}^{-1}$, preferably less than about $1000 \text{ mm}^2\text{s}^{-1}$, more preferably less than about $600 \text{ mm}^2\text{s}^{-1}$, at 25°C .
7. A hair care composition according to any of the preceding claims wherein the polysiloxane resin is an M'Q resin.

8. A hair care composition according to any of the preceding claims wherein the composition further comprises C_8 to C_{22} , preferably C_{12} to C_{18} , more preferably C_{16} , fatty alcohol.
- 5 9. A method of conditioning hair by applying to the hair an effective amount of a composition according to any of the preceding claims.
10. A packaged product comprising a composition according to any of Claims 1 to 8 and a suitable package for said composition wherein the package
10 has instructions indicating that the composition is intended to be left on the hair.

09/14/99 09:29:04

DECLARATION COMBINED WITH POWER OF ATTORNEY

Page 1 of 1

Attorney Docket No. CM1869M

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled HAIR CARE COMPOSITIONS
the specification of which

(check ☐ is attached hereto.
one) ☐ was filed on 26 March 1999 as United States Application No. or
PCT International Application Serial No. PCT/US99/06117
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56.

I hereby claim foreign priority benefits under Title 35 United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>		<u>Priority Claimed</u>
PCT/US98/15871	USA	30 JULY 1998
(Number)	(Country)	(Day/Month/Year Filed)
		Yes No

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Serial No.	Filing Date	Application Serial No.	Filing Date
------------------------	-------------	------------------------	-------------

I hereby claim the benefit under Title 35 United States Code §120 of any United States application(s), or §365(c) of any PCT International application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35 United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (If applicable)
-----------------------------------	----------------------	------------------------------------	---

As named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

<u>Atty Name</u>	<u>Atty Reg Number.</u>	<u>Associate Power of Attorney Attached</u>
		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No

Jacobus C. Rasser	<u>37,043</u>
T. David Reed	<u>32,931</u>
Timothy B. Guffey	<u>41,048</u>

SEND CORRESPONDENCE TO:

<u>The Procter & Gamble Company</u>		<u>(513)</u>
Name	Phone No.	
<u>Cincinnati</u>	<u>Ohio</u>	
Street	City	State
		Zip Code

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
In the U.S. National Phase Entry
Under 35 USC 371 from
International Application of
MCCANN, Chantelle Mary et al.
Int'l. Application No. PCT/US99/06117
Filed in the RO/US on 26 March 1999
Entitled: *Hair Care Compositions Comprising
Polysiloxane Resins With
Delocalised Electrons*

ASSOCIATE POWER OF ATTORNEY

Assistant Commissioner for Patents
Box PCT
Washington, D.C. 20231

Dear Sir:

You are requested to recognize B. J. Corstanje (Registration No. 34,804), L. Elandjian (Registration No. 46,164), M. E. Hilton (Registration No. 33,509), T. B. Guffey (Registration No. 41,048), A. E. Matthews (Registration No. 43,780), S. W. Miller (Registration No. 31,984), S. T. Murphy (Registration No. 42,917), J. L. Oney, Jr. (Registration No. 42,964), L. M. Sivik (Registration No. 44,982), F. Tsuneki (Registration No. 40,512), and W. J. Winter (Registration No. 36,060) of The Procter & Gamble Company, Cincinnati, Ohio, as Associate Attorneys to prosecute this application, to make alterations and amendments therein, and to transact all business in the Patent Office connected with the application or with the patent granted thereupon.

Please address all future communications to:

B. J. Corstanje, Patent Attorney
Patent Division - HB3N30 - Box 325
The Procter & Gamble Company
Sharon Woods Technical Center
11510 Reed Hartman Highway
Cincinnati, Ohio 45241

Respectfully submitted for Applicants,

By



T. David Reed
Agent for Applicant
Registration No. 32,931

Cincinnati, Ohio
21 January 2001
(513) 627-7025/FAX 627-6333

00741456-062904

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

100 Full name of sole or first joint inventor Wendy Victoria Jane YOUNG

Inventor's signature Wendy Victoria Jane Young

Date 19-4-99

Residence "Pennymoor", Long Lane, Shaw, Nr. Newbury, Berkshire, RG14 2TA, United Kingdom GBX

Citizenship _____

Post Office Address The Procter & Gamble Technical Centres Limited, Rusham Park, Whitehall Lane, Egham, Surrey, TW20 9NW United Kingdom

200 Full name of second joint inventor, if any Graham Neil MCKELVEY

Inventor's signature Graham Neil McKelvey

Date 14/4/99

Residence 37 Raglan Road, Knaphill, Woking, Surrey, GU21 2AR, United Kingdom GBX

Citizenship _____

Post Office Address The Procter & Gamble Technical Centres Limited, Rusham Park, Whitehall Lane, Egham, Surrey, TW20 9NW United Kingdom

300 Full name of third joint inventor, if any Chantelle Mary MCCANN

Inventor's signature Chantelle Mary McCann

Date 14/4/99

Residence 88 Thorpe Lea Road, Egham, Surrey, TW29 7BN, United Kingdom GBX

Citizenship _____

Post Office Address The Procter & Gamble Technical Centres Limited, Rusham Park, Whitehall Lane, Egham, Surrey, TW20 9NW United Kingdom

400 Full name of fourth joint inventor, if any Anthony MCMEEKIN

Inventor's signature Anthony McMeekin

Date 14/4/99

Residence 14A Fox Lane North, Chertsey, Surrey, LT16 9HW, United Kingdom GBX

Citizenship _____

Post Office Address The Procter & Gamble Technical Centres Limited, Rusham Park, Whitehall Lane, Egham, Surrey, TW20 9NW United Kingdom